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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09.842.346	04/25/2001	Robert Roy Keller JR.	70550

CONFIRMATION NO. 6975

22242
FITCH EVEN TABIN AND FLANNERY
120 SOUTH LA SALLE STREET
SUITE 1600
CHICAGO, IL 606033406

FORMALITIES LETTER



OC000000006820049

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

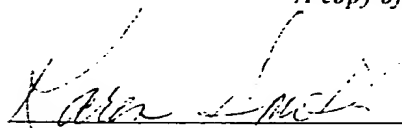
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that they contain non-ASCII files. A new duplicate set of compact discs with only ASCII files is required. Any replacement compact disc submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and non-ASCII files are marked on the directory listing.

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- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

A handwritten signature in cursive script, appearing to read "K. Allen Smith", is written over a horizontal line.

Customer Service Center
Initial Patent Examination Division (703) 308-1202



COPY OF PAPERS
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PATENT

Attorney Docket No. 70550

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Keller, et al.

Appln No.: 09/842,346

Filed: 04/25/2001

For: Simplified Method and Apparatus
for Programming a Universal
Transmitter

Group Art

Unit: 2632

Examiner: Not yet known

CERTIFICATE OF MAILING

I hereby certify that this paper is being
deposited with the United States Postal Service
as first class mail in an envelope addressed to:
Commissioner of Patents and Trademarks,
Washington, D.C. 20231, on this date.

01/02/02

Date

Kenneth H. Samples

Kenneth H. Samples

Registration No. 25,747

Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS

Commissioner of Patents and Trademarks

ATTENTION: Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated 10/01/2001, enclosed are:

☒ A copy of the Notice to File Missing Parts.

☒ An executed Declaration for Patent Application.

☐ _____ sheet(s) of drawings are enclosed. ☐ Formal ☐ Informal

☐ An Assignment of the invention to _____, and Recordation Form Cover Sheet - Patent's
Only - Form PTO-1595.

☐ A check in the amount of \$ _____ to cover the fee for recording the assignment.

☒ The Recordation Form Cover Sheet includes authorization to charge our Deposit
Account for recording the assignment.

☒ Fee Calculation:

Fee Calculation For Claims As Filed

<input type="checkbox"/> Provisional Application Basic Fee	\$160.00	
Surcharge under 37 C.F.R. § 1.16(1)	\$ 50.00	
<input checked="" type="checkbox"/> Non-Provisional Utility Application Basic Fee	\$ 740.00	
Independent Claims <u>4</u> - <u>3</u> = <u>1</u> x \$ 84.00	= \$	<u>0.00</u>
Total Claims <u>15</u> - <u>20</u> = <u>0</u> x \$ 18.00	= \$	<u>0.00</u>
Fee for Multiply Dependent Claims	\$ 280.00	
Surcharge under 37 C.F.R. § 1.16(e)	\$ 130.00	\$ <u>130.00</u>
<input type="checkbox"/> Design Application Basic Fee	\$ 330.00	
Surcharge under 37 C.F.R. § 1.16(e)	\$ 130.00	
Total Filing and/or Surcharge Fee		\$ <u>130.00</u>

☐ Applicant(s) assert entitlement to Small Entity Status, reducing the Filing/Surcharge Fee by half to: _____

☒ Transmittal Cover Letter for Computer Program Listing Appendix and two (2) identical compact discs (CD-ROMs).

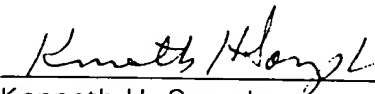
☐ A check in the amount of \$ _____ to cover the Filing and/or Surcharge Fee.

☒ Charge \$ 130.00 to Deposit Account No. 06-1135.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§ 1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

01/02/02

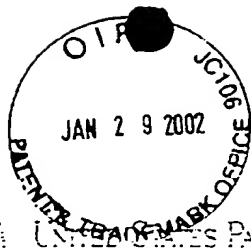
Date



Kenneth H. Samples

Registration No. 25,747

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270411



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COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20503
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09 342,346	04.25.2001	Robert Roy Keller JR.	70550

CONFIRMATION NO. 6975

FORMALITIES LETTER



0C00C0C0C0C5820045

22242
FITCH EVEN TABIN AND FLANNERY
120 SOUTH LA SALLE STREET
SUITE 1600
CHICAGO, IL 606033406

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

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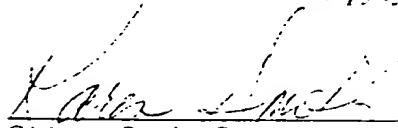
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

176

COPY OF PAPERS
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PATENT

Attorney Docket No. 70550



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Keller, et al.)
)
Appln No.: 09/842,346)
)
Filed: April 25, 2001)
)
For: Simplified Method and)
Apparatus For Programming a)
Universal Transmitter)
)
Group Art)
Unit: 2632)
)
Examiner: Not yet assigned)

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

01/02/02
Date

Kenneth H. Samples
Kenneth H. Samples
Registration No. 25,747
Attorney for Applicant(s)

**TRANSMITTAL COVER LETTER
FOR COMPUTER PROGRAM LISTING APPENDIX**

Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

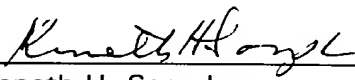
In response to the outstanding Office Action dated October 1, 2001, and pursuant to 37 C.F.R. §§ 1.52(e), 1.77(b), and 1.96, Applicant(s) hereby submit two (2) identical compact discs (CD-ROMs) each containing a computer program listing appendix for the above-captioned application. These discs are in IBM-PC machine format and are MS-Windows operating system compatible. The file on each disc is named "codelisting.txt", is 71.3KB in size, and was created on January 2, 2002.

Appln No. 09/842,346
Filed April 25, 2001

TRANSMITTAL COVER LETTER
Attorney Docket No. 70550

The Commissioner is hereby authorized to charge any additional fees which may be required in respect to this submission, or credit any overpayment, to Deposit Account No. 06-1135.

Respectfully submitted,
FITCH, EVEN, TABIN & FLANNERY



Kenneth H. Samples
Registration No. 25,747

Date: January 2, 2002

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